

# Free generators in free inverse semigroups

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Using the characterization of the free inverse semigroup  $F$  on a set  $X$ , given by Scheiblich, a necessary and sufficient condition is found for a subset  $K$  of an inverse semigroup  $S$  to be a set of free generators for the inverse subsemigroup of  $S$  generated by  $K$ . It is then shown that any non-idempotent element of  $F$  generates the free inverse semigroup on one generator and that if  $|X| > 2$  then  $F$  contains the free inverse semigroup on a countable number of generators. In addition, it is shown that if  $|X| = 1$  then  $F$  does not contain the free inverse semigroup on two generators.

Let  $X$  be a non-empty set. By a free inverse semigroup on  $X$  is meant an ordered pair  $(F, f)$  where  $F$  is an inverse semigroup and  $f$  is a mapping of  $X$  into  $F$  such that for any mapping  $g$  of  $X$  into an inverse semigroup  $S$  there is a unique homomorphism  $h$  of  $F$  into  $S$  with  $g = f \circ h$ . The inverse semigroup  $F$  is then unique to within isomorphism and is referred to as the *free inverse semigroup* on  $X$ . In [5], Šaň establishes that inverse semigroups form a variety, from which it follows that free inverse semigroups exist. Alternative approaches are discussed by Eberhart and Selden [2] and McAlister [3].

In his recent paper [6], Scheiblich has given a valuable characterization of the free inverse semigroup on  $X$  in terms of partial transformations of the power set of the non-identity elements in the free group on  $X$ . We begin by describing this characterization. Basic

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information about inverse semigroups and unexplained notation will be found in [1].

Let  $X$  be a non-empty set and  $X'$  be a set disjoint from  $X$  and in one-to-one correspondence with  $X$ . Let this correspondence be denoted by  $x \leftrightarrow x^{-1}$ . We also write  $x = (x^{-1})^{-1}$ .

A word in  $Y = X \cup X'$  is any finite sequence,  $a_1 \dots a_n$ , of elements from  $Y$  and a *reduced word* is any finite sequence,  $a_1 \dots a_n$ , of elements from  $Y$  such that  $a_i \neq a_{i+1}^{-1}$ ,  $i = 1, \dots, n-1$ . The empty sequence, denoted by  $1$ , is also a reduced word. Let  $G$  denote the set of reduced words and  $R = G \setminus \{1\}$ . For any elements  $g = a_1 \dots a_m$ ,  $h = b_1 \dots b_n$  of  $G$  let  $gh$  denote the reduced word obtained from  $a_1 \dots a_m b_1 \dots b_n$  by removing any adjacent pairs  $yy^{-1}$ ,  $y \in Y$  successively until a reduced word is obtained. This defines a binary operation on  $G$  with respect to which  $G$  is a group - the free group on  $X$ . In particular, for any  $x \in X$ , the elements  $x$  and  $x^{-1}$  (as sequences in  $G$ ) are group inverses.

For any  $w = a_1 \dots a_n \in R$ , let

$$I(w) = \{a_1, a_1 a_2, \dots, a_1 \dots a_n\},$$

that is, let  $I(w)$  denote the set of initial segments of  $w$ .

Let  $E = \{A \subseteq R : A \neq \emptyset, A \text{ is finite and } I(w) \subseteq A \text{ for all } w \in A\}$ . Thus  $E$  is the set of subsets of  $R$  which are closed with respect to taking initial segments. If  $A, B \in E$  then  $A \cup B \in E$ . Thus, if  $E$  is partially ordered by defining  $A \leq B$  if  $A \supseteq B$ , then  $E$  is a semilattice such that  $A \cup B$  is the greatest lower bound of  $A$  and  $B$ . For any  $A \in E$  we write  $A^1$  for  $A \cup \{1\}$ .

For each  $x \in X$ , define a permutation  $\pi_x$  of  $R$  as follows: for  $w \in R$ ,

$$w(x\rho) = \begin{cases} x^{-1} & \text{if } w = x, \\ x^{-1}w & \text{otherwise.} \end{cases}$$

The mapping  $\rho : x \rightarrow x\rho$  extends to a homomorphism (in fact, an isomorphism)  $\rho$  of  $G$  into the group,  $P(R)$ , of permutations of  $R$ . It is convenient to note here that for any  $w \in R$ ,  $x \in X$ ,

$$w(x^{-1}\rho) = \begin{cases} x & \text{if } w = x^{-1}, \\ xw & \text{otherwise.} \end{cases}$$

This homomorphism induces another homomorphism, which we also denote by  $\rho$ , of  $G$  into  $P(E)$ , defined as follows: for any  $A \in E$ ,  $g \in G$ ,

$$A(g\rho) = \{a(g\rho) : a \in A\}.$$

Let  $F = \{(A, w) \in E \times G : w \in A^{-1}\}$  and define a binary operation on  $F$  as follows:

$$(1) \quad (A, w)(B, v) = (A \cup B(w\rho)^{-1}, vw).$$

Define a mapping  $f : X \rightarrow F$  by  $xf = (\{x\}, x)$ .

**THEOREM 1.1** ([6], Scheiblich). *With respect to the operation defined in (1),  $F$  is an inverse semigroup and  $(F, f)$  is the free inverse semigroup on  $X$ .*

For any element  $U = (A, a)$  in  $F$ , let  $\Delta'(U) = A$ .

The following lemma lists some simple observations from [6] which are used later without further comment.

**LEMMA 1.2.** *Let  $W = (A, a)$  and  $W_i = (A_i, a_i)$ ,  $i = 1, \dots, n$  be any elements of  $F$ .*

1.  $W$  is an idempotent in  $F$  if and only if  $a = 1$ .
2.  $W^{-1} = (A(a\rho), a^{-1})$ .
3.  $WW^{-1} = (A, 1)$ ,  $W^{-1}W = (A(a\rho), 1)$  and  $\Delta'(W) = A = \Delta'(WW^{-1})$ .

4.

$$\Delta' \left( \prod_{i=1}^n w_i \right) = A_1 \cup A_2 (a_1 \rho)^{-1} \cup A_3 (a_2 \rho)^{-1} (a_1 \rho)^{-1} \cup \dots \cup A_n (a_{n-1} \rho)^{-1} \dots (a_1 \rho)^{-1} .$$

There are some immediate observations that can be made regarding  $F$ . For instance, since every idempotent is of the form  $(A, 1)$  with  $A \in E$  there are  $2|X|$  maximal idempotents in  $F$ , where  $|X|$  denotes the cardinality of  $X$ . Also, the following observations can be made regarding Green's relations  $H, L, R, D$  and  $J$ .

LEMMA 1.3. *Let  $U = (A, a)$ ,  $V = (B, b)$  be any elements of  $F$ .*

- (1)  $URV \iff A = B$ .
- (2)  $ULV \iff A(a\rho) = B(b\rho)$ .
- (3)  $UHV \iff A = B$  and  $a = b$ .

Thus  $H$  is the identity relation.

- (4)  $UDV \iff A(a'\rho) = B(b'\rho)$  for some  $a' \in A^1$ ,  $b' \in B^1$ .
- (5)  $J = D$ .
- (6)  $|R_U| = |A| + 1 = |L_U|$ .
- (7)  $|D_U| = (|A| + 1)^2$ .

Proof. Parts (1), (2), (3), (6) and (7) are immediate consequences of Lemma 1.2.

For part (4), first suppose that  $UDV$ . Then, for some  $W = (C, c)$  we must have  $URW$  and  $WLW$ . Then  $A = C$  and  $C(c\rho) = B(b\rho)$ . Thus  $A(c\rho) = B(b\rho)$  where  $c \in C^1 = A^1$ ,  $b \in B^1$ .

Conversely, let  $A(a'\rho) = B(b'\rho)$  for some  $a' \in A^1$ ,  $b' \in B^1$ . Let  $W = (A, a')$ ,  $Z = (B, b')$ . Then  $URW$ ,  $WLZ$ ,  $ZRV$ . Hence  $UDV$ .

One always has  $D \subseteq J$  and so, for part (5), we wish to establish the converse inclusion. So let  $UJV$ . Then, since  $V \in FUF$ , there exist elements  $W = (C, c)$ ,  $Z = (D, d)$  such that  $V = WUZ$ . Hence  $b = cad$

and

$$\begin{aligned} B &= \Delta'(V) = \Delta'(WUZ) \\ &= C \cup A(cp)^{-1} \cup D(ap)^{-1}(cp)^{-1}. \end{aligned}$$

Hence,  $A(cp)^{-1} \subseteq B$  and  $A \subseteq B(cp)$ . Similarly, since  $U \in FVF$ , there exists a  $c' \in G$  such that  $B \subseteq A(c'\rho)$ . Since  $A$  and  $B$  are finite, since  $A$  and  $A(c'\rho)$  have the same cardinality and since  $B$  and  $B(cp)$  have the same cardinality we must have  $A = B(cp)$ . Thus

$A(ap) = B(cap) = B(bd^{-1}\rho)$ . Let  $d = d_1 \dots d_n$ . Since  $d \in D$  we have  $d_1 \in \nu$ . If  $bd^{-1} \in I(b)$  then we have the required result. Otherwise  $bd^{-1} = ud_1^{-1}$  where either  $u = 1$  or  $ud_1^{-1}$  is in reduced form. Then  $d_1(db^{-1})\rho \in D(db^{-1}\rho) = D(cap)^{-1} \subseteq B$ . But

$$d_1(db^{-1})\rho = d_1(d_1^{-1}u^{-1}\rho) = ud_1^{-1} = bd^{-1}.$$

Thus  $A(ap) = B(bd^{-1}\rho)$  where  $bd^{-1} \in B$ ,  $a \in A$ . Thus  $UDV$ .

## 2. Free generators

For any subset  $K$  of an inverse semigroup  $S$  let  $\langle K \rangle$  denote the inverse subsemigroup of  $S$  generated by  $K$ . If  $K = \{U\}$ , for some  $U \in S$  then we write  $\langle K \rangle = \langle U \rangle$ . For any subset  $K$  of a group  $H$  we denote by  $\langle K \rangle$  the subgroup of  $H$  generated by  $K$ . Let  $K \subseteq S$ , and let  $i$  denote the embedding of  $K$  into  $S$ . If  $(\langle K \rangle, i)$  is the free inverse semigroup on  $K$  then we say that  $K$  is a set of free generators for  $\langle K \rangle$ . For any subset  $K$  of  $S$ ,  $K^{-1} = \{k^{-1} : k \in K\}$ . For any elements  $a_1, \dots, a_n$  in  $S$  we write  $\prod_{i=1}^n a_i = a_1 a_2 \dots a_n$ .

We first observe that the sets which are sets of free generators for  $F$  are very restricted.

**PROPOSITION 2.1.** *Let  $W \subseteq F$  and  $\langle W \rangle = F$ . Then  $W$  is a set of free generators for  $F$  if and only if,  $W \subset Xf \cup (Xf)^{-1}$  and, for each*

$$x \in X, |W \cap \{xf, (xf)^{-1}\}| = 1.$$

Proof. It is clear that if the condition is satisfied then  $W$  is a set of free generators. So suppose that  $W$  is a set of free generators.

We first show that  $W \cup W^{-1} = (Xf) \cup (Xf)^{-1}$ . Let  $x \in X$ . Then, for some  $W_1, \dots, W_n \in W \cup W^{-1}$ ,

$$\langle \{x\}, x \rangle = W_1 \dots W_n.$$

Hence

$$\begin{aligned} \langle \{x\} \rangle &= \Delta'(W_1 \dots W_n) \\ &= \Delta'(W_1) \cup \dots \end{aligned}$$

Thus  $\Delta'(W_1) = \{x\}$  and so  $W_1 = \langle \{x\}, x \rangle = xf$  or  $W_1 = \langle \{x\}, 1 \rangle$ . In the latter case,  $W_1$  is an idempotent which is clearly impossible, since  $W$  is a set of free generators. Hence  $xf = W_1 \in W \cup W^{-1}$ . Therefore  $(Xf) \cup (Xf)^{-1} \subseteq W \cup W^{-1}$ . Since both  $Xf$  and  $W$  are sets of free free generators for  $F$ , we must have  $(Xf) \cup (Xf)^{-1} = W \cup W^{-1}$ . Thus, for any  $x \in X$ , we must have  $\{xf, (xf)^{-1}\} \cap W \neq \emptyset$ . But since  $W$  is a set of free generators we cannot have  $\{xf, (xf)^{-1}\} \subseteq W$ . Hence we have the desired conclusion.

We now give a general criterion for a subset  $K$  of an inverse semigroup  $S$  to be a set of free generators for  $\langle K \rangle$ .

**THEOREM 2.2.** *Let  $K$  be a subset of an inverse semigroup  $S$ . Then  $K$  is a set of free generators for  $\langle K \rangle$  if and only if the following condition is satisfied:*

- (K) *If  $Y \in K \cup K^{-1}$  and  $YY^{-1} \geq F_1 \dots F_n$  where*
- $$F_j = Y_{j1} \dots Y_{jn(j)} Y_{jn(j)}^{-1} \dots Y_{j1}^{-1} \text{ for some } Y_{jk} \in K \cup K^{-1}$$
- such that  $Y_{jk} \neq Y_{jk+1}^{-1}$  for  $k = 1, \dots, n(j)-1$ ,*
- $$j = 1, \dots, n, \text{ then } Y = Y_{j1} \text{ for some } j.$$

Proof. Let  $\theta : X \rightarrow K$  be a bijection of some set  $X$  onto  $K$ . Let  $(F, f)$  be the free inverse semigroup on  $X$  as described in Section 1. Then  $\theta$  determines a unique epimorphism of  $F$  onto  $\langle K \rangle$ , which we also denote by  $\theta$ . Clearly  $K$  is a set of free generators for  $\langle K \rangle$  if and only if  $\theta$  is an isomorphism.

First suppose then that  $\theta$  is an isomorphism. We wish to show that condition (K) is satisfied. Let  $Y$  be some element of  $K \cup K^{-1}$  such that

$$YY^{-1} \geq F_1 \dots F_n$$

where, for  $j = 1, \dots, n$ ,

$$F_j = Y_{j1} \dots Y_{jn(j)} Y_{jn(j)}^{-1} \dots Y_{j1}^{-1}$$

for some elements  $Y_{jk} \in K \cup K^{-1}$  such that  $Y_{jk} \neq Y_{jk+1}^{-1}$ , for any  $j = 1, \dots, n$ ,  $k = 1, \dots, n(j)$ . Here  $n(j)$  denotes some integer that depends on  $j$ .

Let  $U, U_{jk}$  be elements of  $Xf \cup (Xf)^{-1}$  such that

$$Y\theta^{-1} = U = (\{y\}, y)$$

and

$$Y_{jk}\theta^{-1} = U_{jk} = (\{y_{jk}\}, y_{jk})$$

for some  $y, y_{jk} \in X \cup X'$ . Let  $E_j = F_j\theta^{-1}$ ,  $j = 1, \dots, n$ . Then

$$UU^{-1} \geq E_1 \dots E_n.$$

Hence

$$\begin{aligned} \Delta'(U) &= \Delta'(UU^{-1}) \subseteq \Delta'(E_1 \dots E_n) \\ &= \bigcup_{j=1}^n \Delta'(E_j) \\ &= \bigcup_{j=1}^n \Delta'(U_{j1} \dots U_{jn(j)}) . \end{aligned}$$

Therefore,  $y \in \Delta'(U_{j1} \dots U_{jn(j)})$ , for some  $j$ . Now, since

$Y_{jk} \neq Y_{jk+1}^{-1}$ , for any  $j, k$ , we have that  $U_{jk} \neq U_{jk+1}^{-1}$  and hence that

$y_{jk} \neq y_{jk+1}^{-1}$ . Hence the elements  $y_{j1}, y_{j1}y_{j2}, \dots, y_{j1}y_{j2} \dots y_{jn(j)}$  are all in reduced form and so, by Lemma 1.2 (4),

$$\begin{aligned} \Delta'(U_{j1} \dots U_{jn(j)}) &= \Delta'(U_{j1}) \cup \Delta'(U_{j2})(y_{j1}\rho)^{-1} \cup \dots \\ &= \{y_{j1}, y_{j1}y_{j2}, \dots, y_{j1}y_{j2} \dots y_{jn(j)}\}. \end{aligned}$$

Thus the only element of  $\Delta'(U_{j1} \dots U_{jn(j)})$  of length one in reduced form is  $y_{j1}$ . Hence  $y = y_{j1}$ ,  $U = U_{j1}$  and  $Y = Y_{j1}$ . Thus (K) is satisfied.

Now suppose that (K) is satisfied. We wish to show that  $\theta$  is an isomorphism. Since  $\theta$  is clearly an epimorphism, we need only show that  $\theta$  is a monomorphism. To this end, we need the following result due to Munn [4].

LEMMA 2.3. *Let  $T$  be an inverse semigroup and  $\tau$  be a congruence on  $T$ . Then  $\tau \subseteq H$  if and only if  $\tau$  is idempotent separating (that is,  $(a, b) \in \tau$ ,  $a^2 = a$  and  $b^2 = b$  imply that  $a = b$ ).*

If we can show that for any distinct idempotents  $M, N$  of  $F$ ,  $M\theta \neq N\theta$  then the congruence  $\theta \circ \theta^{-1}$  induced by  $\theta$  is idempotent separating. By Lemma 2.3 this means that  $\theta \circ \theta^{-1} \subseteq H$ . But, by Lemma 1.3,  $H$  is the identity relation. Consequently  $\theta$  must be a monomorphism.

So let  $M, N$  be distinct idempotents of  $F$  such that  $M\theta = N\theta$ . Then  $\Delta'(M) \neq \Delta'(N)$ . Without loss of generality, let  $z_1 \dots z_n \in \Delta'(M) \setminus \Delta'(N)$ , where  $n \geq 1$ ,  $z_1, \dots, z_n \in X \cup X'$  and  $z_\alpha \neq z_{\alpha+1}^{-1}$ ,  $\alpha = 1, \dots, n-1$ . Let  $Z_i = (\{z_i\}, z_i)$ ,  $i = 1, \dots, n$ . Since  $z_1 z_2 \dots z_n \in \Delta'(M)$ ,



$$\Delta' \left( z_1 z_2 \dots z_n z_n^{-1} \dots z_1^{-1} \right) = \Delta' (z_1 \dots z_n) = \{z_1, z_1 z_2, \dots, z_1 z_2 \dots z_n\} \subseteq \Delta'(M),$$

and so

$$M \leq z_1 \dots z_n z_n^{-1} \dots z_1^{-1}.$$

Hence,

$$(2) \quad N\theta = M\theta \leq z_1\theta \dots z_n\theta z_n^{-1}\theta \dots z_1^{-1}\theta.$$

Let  $\Delta'(N) = \{n_1, \dots, n_r\}$ , for some integer  $r$  and, for  $i = 1, \dots, r$ , let  $n_i = v_{i1} \dots v_{ik(i)}$  for some  $v_{i\alpha} \in X \cup X'$  with  $v_{i\alpha} \neq v_{i\alpha+1}^{-1}$ ,  $\alpha = 1, \dots, k(i)-1$ . Let  $V_{ij} = \{v_{ij}, v_{ij}^{-1}\}$ ,  $i = 1, \dots, r$ ,  $j = 1, \dots, k(i)$ . For each  $i$ , let  $B_i = I\{n_i\}$  and  $N_i = \{B_i, 1\}$ . Then

$$\Delta'(N) = \cup\{B_i : i = 1, \dots, r\},$$

$$N = \prod_{i=1}^r N_i$$

and

$$N_i = v_{i1} \dots v_{in(i)} v_{in(i)}^{-1} \dots v_{i1}^{-1}.$$

We will show by induction that, for  $s = 1, \dots, n$  there exists an  $i$  with  $z_1 = v_{i1}, \dots, z_s = v_{is}$ .

First let  $s = 1$ . Since  $z_1\theta z_1^{-1}\theta \geq z_1\theta \dots z_n\theta z_n^{-1}\theta \dots z_1^{-1}\theta$ , we have that  $z_1\theta z_1^{-1}\theta \geq N\theta$ . Let  $z_i\theta = Y_i$  and  $v_{ij}\theta = Y_{ij}$ . Then  $Y_1 Y_1^{-1} \geq \prod_{i=1}^r \left( Y_{i1} \dots Y_{i1}^{-1} \right)$ . Hence, by (K),  $Y_1 = Y_{i1}$ , for some  $i$ .

Since  $\theta$  is one-to-one on  $Xf \cup (Xf)^{-1}$ ,  $z_1 = v_{i1}$ . Now suppose that  $z_1 = v_{i1}, \dots, z_{s-1} = v_{is-1}$ , for  $i = 1, \dots, k$ , but not for

$i = k+1, \dots, r$ . Then  $Y_1 = Y_{i1}, \dots, Y_{s-1} = Y_{is-1}$ , for  $i = 1, \dots, k$ , but not for  $i = k+1, \dots, r$ . For the sake of brevity, we shall sometimes write  $A$  for  $Y_1 \dots Y_{s-1}$  in what follows.

We have, from (2), that

$$Y_1 \dots Y_s Y_s^{-1} \dots Y_1^{-1} \geq Y_1 \dots Y_n Y_n^{-1} \dots Y_1^{-1} \geq N\theta.$$

Hence

$$A^{-1} A Y_s Y_s^{-1} A^{-1} A \geq A^{-1} (N\theta) A = \prod_{i=1}^r A^{-1} (N_i \theta) A.$$

Thus, since  $Y_s Y_s^{-1} \geq A^{-1} A Y_s Y_s^{-1} A^{-1} A$ , we have

$$(3) \quad Y_s Y_s^{-1} \geq \prod_{i=1}^r A^{-1} (N_i \theta) A.$$

If  $i \leq k$ , then

$$(4) \quad A^{-1} (N_i \theta) A = A^{-1} \left( A Y_{is} \dots Y_{in(i)} Y_{in(i)}^{-1} \dots Y_{is}^{-1} A^{-1} \right) A \\ = A^{-1} A Y_{is} \dots Y_{in(i)} Y_{in(i)}^{-1} \dots Y_{is}^{-1}.$$

If  $k < i$ , then for some integer  $p$ , dependent upon  $i$ , such that  $0 \leq p < s-1$ , we have

$$(5) \quad A^{-1} (N_i \theta) A = A^{-1} Y_1 \dots Y_p Y_{ip+1} \dots Y_{in(i)} Y_{in(i)}^{-1} \dots Y_{ip+1}^{-1} Y_p^{-1} \dots Y_1^{-1} A \\ = A^{-1} A Y_{s-1}^{-1} \dots Y_{p+1}^{-1} Y_{ip+1} \dots Y_{in(i)} Y_{in(i)}^{-1} \dots \\ \dots Y_{ip+1}^{-1} Y_{p+1} \dots Y_{s-1}$$

where  $Y_{p+1} \neq Y_{ip+1}$ . From (3), (4), (5) and condition (K) we must have

$Y_s = Y_{s-1}^{-1}$  or  $Y_{is}$  (for some  $i = 1, \dots, k$ ). But  $Y_s = Y_{s-1}^{-1}$  implies

that  $Z_s = Z_{s-1}^{-1}$ , a contradiction. Hence  $Y_s = Y_{is}$ , for some

$i = 1, \dots, k$ . Hence, for some  $i$ ,  $Y_1 = Y_{i1}, \dots, Y_s = Y_{is}$ , and

$Z_1 = V_{i_1}, \dots, Z_s = V_{i_s}$ . Hence, for some  $i$ ,  $Z_1 = V_{i_1}, \dots, Z_n = V_{i_n}$ , and

$$Z_1 \dots Z_n Z_n^{-1} \dots Z_1^{-1} \geq N_i \geq N.$$

Therefore  $z_1 z_2 \dots z_n \in \Delta'(N)$ , which contradicts the choice of  $z_1 \dots z_n$ . Hence  $\theta \circ \theta^{-1}$  is idempotent separating and  $\theta$  is an isomorphism.

If, in Theorem 2.2,  $S$  is actually the free inverse semigroup  $F$  on  $X$  as described in Section 1, then we can give a reformulation of the condition (K) which is less cumbersome to apply. In  $F$ ,  $Y Y^{-1} \geq F_1 \dots F_n$  if and only if  $\Delta'(Y Y^{-1}) \subseteq \Delta'(F_1 \dots F_n) = \bigcup_{j=1}^n \Delta'(F_j)$ . Also  $\Delta'(Y Y^{-1}) = \Delta'(Y)$  and  $\Delta'(F_j) = \Delta'(Y_{j1} \dots Y_{jn(j)})$ . Thus, in  $F$ , condition (K) could have been stated as

(K') If  $Y \in K \cup K'$  and  $\Delta'(Y) \subseteq \bigcup_{j=1}^n \Delta'(Y_{j1} \dots Y_{jn(j)})$  for some  $Y_{jk} \in K \cup K'^{-1}$  such that  $Y_{jk} \neq Y_{jk+1}^{-1}$  for  $k = 1, \dots, n(j)-1$ ,  $j = 1, \dots, n$ , then  $Y = Y_{j1}$ , for some  $j$ .

If we take  $|K| = 1$  in Theorem 2.2, then we obtain the following simple criterion for an element in an inverse semigroup to generate the free inverse semigroup on one generator.

**COROLLARY 2.4.** *Let  $U$  be an element of the inverse semigroup  $S$ . Then  $\langle U \rangle$  is the free inverse semigroup on a single generator if and only if  $U U^{-1} \not\geq U^{-m} U^m$  and  $U^{-1} U \not\geq U^n U^{-n}$ , for any positive integers  $m, n$ .*

This corollary could also be obtained from the characterization of the  $\theta$ -classes on a free inverse semigroup with one generator due to Eberhart and Seldon [2].

Once again let  $F$  denote the free inverse semigroup on  $X$ .

COROLLARY 2.5. *Let  $U$  be any non-idempotent of  $F$ . Then  $U$  is a free generator of  $\langle U \rangle$ .*

Proof. Let  $U = (A, u)$  where  $u \neq 1$ . For any  $v \in R$ , we define a function  $d(v, -) : R \rightarrow N$ , where  $N$  is the set of non-negative integers; for any  $c \in R$ ,  $d(v, c)$  is the largest integer  $n$  such that  $c = v^n c'$  for some  $c' \in G$ , where  $v^n c$  is in reduced form, but  $c \neq v^{n+1} c''$  in reduced form. Then  $d(v, c) \geq 0$ , for all  $v, c \in R$ .

Let  $a \in A$  be such that  $d(u, a)$  is maximal in  $\{d(u, b) : b \in A\}$ . Since  $u \in A$ ,  $d(u, a) \geq 1$ . Clearly  $d(u, a) > d(u, b)$ , for any  $b \in A(u\rho)$ , and so  $d(u, a) > \max\{d(u, b) : b \in A(u\rho)\}$ . Also  $\max\{d(u, b) : b \in A(u\rho)^p\} \geq \max\{d(u, b) : b \in A(u\rho)^q\}$  for any positive integers  $p, q$  with  $1 \leq p \leq q$ . Hence, for any integer  $m \geq 1$ ,  $d(u, a) > \max\{d(u, b) : b \in A(u\rho)^p, p = 1, \dots, m\}$ . Thus  $a \notin A(u\rho) \cup A(u\rho)^2 \cup \dots \cup A(u\rho)^m = \Delta'(U^{-m}) = \Delta'(U^{-m}U^m)$ . Therefore,  $UU^{-1} \not\equiv U^{-m}U^m$ , for any integer  $m \geq 1$ . Similarly,  $U^{-1}U \not\equiv U^nU^{-n}$ , for any integer  $n \geq 1$ . By Corollary 2.4, we have the desired result.

Let  $\gamma : F \rightarrow G$  be such that  $(A, u)\gamma = u$ . Then clearly  $\gamma$  is an epimorphism,  $G$  is the maximal group homomorphic image of  $F$ , and  $\gamma \circ \gamma^{-1}$  is the minimum group congruence. It follows easily that if  $K = \{(A_i, w_i) : i \in I\}$  is a set of free generators for  $\langle K \rangle$  then  $W = \{w_i : i \in I\}$  is a set of free generators for  $\langle W \rangle$ .

If  $W = \{w_i : i \in I\}$  is a subset of  $G$  and a set of free generators for  $\langle W \rangle$ , it is tempting to conjecture that  $K = \{(I(w_i), w_i) : i \in I\}$  will be a set of free generators for  $\langle K \rangle$ . In general, this will not be the case. Theorem 2.2 can be tailored to this situation as follows.

PROPOSITION 2.6. *Let  $W = \{w_i : i \in I\}$  be a non-empty subset of  $G$  with  $W$  disjoint from  $W^{-1}$ . Let  $K = \{W_i = (I(w_i), w_i) : i \in I\}$ . Then  $K$  is a set of free generators for  $\langle K \rangle$  if and only if the following condition  $(K_1)$  is satisfied.*

(K<sub>1</sub>) For any subset  $u_1, \dots, u_{k+1}$  of  $W \cup W^{-1}$  with  $u_\alpha \neq u_{\alpha+1}^{-1}$ ,  $\alpha = 1, \dots, k-1$ , if  $u_k \dots u_1 \in I(u_{k+1})$  then  $u_k = u_{k+1}$ .

Proof. First suppose that  $K$  is a set of free generators. Let  $u_1, \dots, u_{k+1}$  be elements of  $W \cup W^{-1}$  such that  $u_\alpha \neq u_{\alpha+1}^{-1}$ ,  $\alpha = 1, \dots, k-1$ , and  $u_k \dots u_1 \in I(u_{k+1})$  while  $u_k \neq u_{k+1}$ . Let  $k$  be the smallest positive integer for which there are such elements  $u_1, \dots, u_{k+1}$ .

Let  $U_i = (I(u_i), u_i)$ ,  $i = 1, \dots, k+1$ . Then  $U_i^{-1} = (I(u_i^{-1}), u_i^{-1})$ .

First suppose that  $k = 1$ . Then  $u_1 \in I(u_2)$  and so  $\Delta'(U_1) \subseteq \Delta'(U_2)$  and, by (K'),  $U_1 = U_2$  so that  $u_1 = u_2$ .

Now suppose that  $k \geq 2$ . If there is a  $j$  such that  $2 \leq j \leq k$  and  $u_{j-1} \dots u_1 \in I(u_j^{-1})$  then this would contradict the choice of  $k$  and the  $u_\alpha$ . Hence

$$u_k \dots u_1 = u_1 (u_2^\rho)^{-1} \dots (u_k^\rho)^{-1}.$$

Thus

$$\begin{aligned} u_1 &\in I(u_{k+1})(u_k^\rho) \dots (u_2^\rho) \\ &= \Delta'(U_{k+1})(u_k^\rho) \dots (u_2^\rho) \\ &\subseteq \Delta'(U_2^{-1} \dots U_k^{-1} U_{k+1}) \end{aligned}$$

and, by (K'), since  $U_{k+1} \neq U_k$ ,  $U_1 = U_2^{-1}$  which is again a contradiction. Hence condition (K<sub>1</sub>) must be satisfied.

Now suppose that condition (K<sub>1</sub>) is satisfied. Let  $Y \in K \cup K^{-1}$  and  $YY^{-1} \geq F_1 \dots F_n$  where  $F_j = Y_{j1} \dots Y_{jn(j)} Y_{jn(j)}^{-1} \dots Y_{j1}^{-1}$  for some

$Y_{jk} \in K \cup K^{-1}$  such that  $Y_{jk} \neq Y_{jk+1}^{-1}$ ,  $k = 1, \dots, n(j)-1$ .

Let  $Y = (I(y), y)$ , for some  $y \in W \cup W^{-1}$ . Then  $\Delta'(Y Y^{-1}) = \Delta'(Y) = I(y)$ . Hence  $y \in \Delta'(F_j)$ , for some  $j$ , and so  $Y Y^{-1} \geq F_j$ , for some  $j$ . For convenience, let

$$F_j = F = Y_1 \dots Y_n Y_n^{-1} \dots Y_1^{-1},$$

where  $Y_i = (I(y_i), y_i)$ ,  $y_i \in W \cup W^{-1}$ . Then

$$y \in \Delta'(Y_1) \cup \Delta'(Y_2)(y_1\rho)^{-1} \cup \dots \cup \Delta'(Y_n)(y_{n-1}\rho)^{-1} \dots (y_1\rho)^{-1}.$$

If  $y \notin \Delta'(Y_1)$ , let  $r$  be the least positive integer such that

$$y \in \Delta'(Y_r)(y_{r-1}\rho)^{-1} \dots (y_1\rho)^{-1}.$$

Then  $r \geq 2$  and

$$y(y_1\rho) \dots (y_{r-1}\rho) \in \Delta'(Y_r).$$

If, for any integer  $j$  such that  $1 \leq j < r-1$ , we have

$$y(y_1\rho) \dots (y_j\rho) \in I(y_{j+1}) = \Delta'(Y_{j+1})$$

then

$$y \in \Delta'(Y_{j+1})(y_j\rho)^{-1} \dots (y_1\rho)^{-1},$$

contradicting the choice of  $r$ . Hence

$$y_{r-1}^{-1} \dots y_1^{-1}y = y(y_1\rho) \dots (y_{r-1}\rho) \in \Delta'(Y_r) = I(y_r)$$

and, by  $(K_1)$ ,  $y_{r-1}^{-1} = y_r$  which is a contradiction.

Hence  $y \in \Delta'(Y_1) = I(y_1)$  and, by  $(K_1)$ ,  $y = y_1$  and  $Y = Y_1$ , as required.

Let  $W$  be a subset of  $G$  satisfying the conditions of Proposition 2.4. If  $w_1, \dots, w_n \in W \cup W^{-1}$  are such that  $w_i \neq w_{i+1}^{-1}$ ,  $i = 1, \dots, n$

then it is clear from  $(K_1)$  that  $w_1 \dots w_n \neq 1$ . Hence  $W$  is a set of free generators for  $\langle W \rangle$ . Thus for condition  $(K_1)$  to hold it is necessary for  $W$  to be a set of free generators of  $\langle W \rangle$ . To see that this is not sufficient, consider the case where  $X = \{x_1, x_2\}$  and  $W = \{x_1, x_1x_2\}$ . Then  $W$  is a set of free generators for  $G$  but, since  $x_1 \in I(x_1x_2)$ , the set  $K = \{(\{x_1\}, x_1), (\{x_1, x_1x_2\}, x_1x_2)\}$  is not a set of free generators for  $\langle K \rangle$ .

We use Proposition 2.6 to show that the free inverse semigroup on two generators contains the free inverse semigroup on a countable number of generators.

**COROLLARY 2.7.** *Let  $X = \{a, b\}$ . Then there is a countable subset  $K$  of  $F$  such that  $K$  is a set of free generators for  $\langle K \rangle$ .*

*Proof.* For each positive integer  $m$ , let

$$w_m = a^{m-1}b^{-1}aba^{-m-1}$$

and  $K = \{(I(w_m), w_m) : m = 1, 2, \dots\}$ . It is well known [7] that  $W = \{w_m : m = 1, 2, \dots\}$  is a subset of a set of free generators of the derived group of  $G$ . We show that  $W$  satisfies condition  $(K_1)$ .

First we note that the  $(m+2)$ nd term in the reduced expression by which  $w_m$  is defined and the  $(m+3)$ rd term in each  $w_m^{-1}$  (that is, the middle "a" or "a<sup>-1</sup>") is a significant factor in the sense that the reduced form of any expression of the form

$$u_k \dots u_1$$

where  $u_\alpha \in W \cup W^{-1}$  and  $u_\alpha \neq u_{\alpha+1}^{-1}$ ,  $\alpha = 1, \dots, k-1$ , will contain the significant factor of each of  $u_k, \dots, u_1$ .

Now suppose that, for some  $u_1, \dots, u_{k+1} \in W \cup W^{-1}$ ,

$$u_k \dots u_1 \in I(u_{k+1})$$

where  $u_\alpha \neq u_{\alpha+1}^{-1}$ ,  $\alpha = 1, \dots, k-1$ . Let  $u_k = w_p \in W$ . Then the reduced form of  $u_k \dots u_1$  has an initial segment equal to  $a^p b^{-1} a$ . Thus

$$u_k \dots u_1 = a^p b^{-1} a v$$

for some  $v \in G$ . Now,  $u_{k+1}$  will have an initial segment equal to  $a^p b^{-1} a$  if and only if  $u_{k+1} = w_p$ . Hence,  $u_{k+1} = w_p = u_k$ , as required.

The case where  $u_k \in W^{-1}$  is treated similarly. Thus condition  $(K_1)$  is satisfied.

Finally, we show that any two non-idempotent elements of the free inverse semigroup on a single generator will not be free generators of the inverse subsemigroup that they generate.

**PROPOSITION 2.8.** *Let  $X = \{x\}$ . Let  $U, V$  be any two elements in  $F$ . Then  $\{U, V\}$  is not a set of free generators for  $H = \langle\langle U, V \rangle\rangle$ .*

*Proof.* Since the result is immediate if either  $U$  or  $V$  is an idempotent, we assume that neither is an idempotent. Clearly  $U$  and  $V$  are free generators for  $H$  if and only if  $U^\varepsilon$  and  $V^\delta$  are free generators, for any  $\varepsilon, \delta \in \{1, -1\}$ . Thus we can assume that  $U = (A, x^m)$ ,  $V = (B, x^n)$  where  $m$  and  $n$  are positive integers.

For any non-zero integers  $p, q$  with  $p > q$  we shall write

$$[x^p, x^q] = \begin{cases} [x^p, x^{p-1}, \dots, x^{q+1}, x^q] & \text{if either } p, q > 0 \text{ or} \\ & p, q < 0, \\ [x^p, x^{p-1}, \dots, x, x^{-1}, \dots, x^q] & \text{if } q < 0 < p. \end{cases}$$

Then  $A = [x^a, x^b]$  and  $B = [x^c, x^d]$ , for some non-zero integers  $a, b, c, d$  with  $a \geq m, b$  and  $c \geq n, d$ . Also  $b, c \leq 1$ . Now



$$A(x^n \rho) = \begin{cases} [x^{a-n}, x^{b-n}] & \text{if } b < 0 < a-n, \\ [x^{a-n}, x^{b-n-1}] & \text{if } 0 < a-n, b = 1, \\ [x^{a-n-1}, x^{b-n}] & \text{if } a-n \leq 0 \text{ and } b < 0, \\ [x^{a-n-1}, x^{b-n-1}] & \text{if } a-n \leq 0, b = 1. \end{cases}$$

We shall only carry through the argument for one of these cases since the remaining cases may be treated similarly. Let  $a-n \leq 0, b < 0$ . Then

$$\begin{aligned} A(x^n \rho)(x^{-m} \rho) &= [x^{a-n-1}, x^{b-n}] (x^{-m} \rho) \\ &= \begin{cases} [x^{a-n-1+m}, x^{b-n+m}] & \text{if } a-n-1+m < 0, \\ [x^{a-n+m}, x^{b-n+m}] & \text{if } b-n+m < 0 \leq a-n-1+m, \\ [x^{a-n+m}, x^{b-n+m+1}] & \text{if } 0 \leq b-n+m. \end{cases} \end{aligned}$$

Since  $b < 0$  and  $m \leq a$ , we have  $b-n+m+1 \leq a-n$ . Thus, for  $a-n \leq 0, b < 0$  we have

$$A(x^n \rho) \cup A(x^n \rho)(x^{-m} \rho) = \begin{cases} [x^{a-n+m}, x^{b-n}] & \text{if } a-n-1+m \geq 0, \\ [x^{a-n+m-1}, x^{b-n}] & \text{if } a-n-1+m < 0. \end{cases}$$

Hence, for sufficiently large  $r$ ,

$$A(x^n \rho) \cup A(x^n \rho)(x^{-m} \rho) \cup \dots \cup A(x^n \rho)(x^{-m} \rho)^r = [x^{a-n+r m}, x^{b-n}].$$

Let this set be denoted by  $J$ . Then, for sufficiently large  $r$ ,

$$\begin{aligned} \Delta'(V^{-1}U^r) &= \Delta'(V^{-1}) \cup J \\ &\supseteq \begin{cases} [x^{-1}, x^{d-n}] \cup J, & \text{if either } n \neq 1 \text{ or } d \neq 1, \\ \{x^{-1}\} \cup J, & \text{if } n = d = 1 \end{cases} \\ &\supseteq [x^{a-n+r m}, x^d]. \end{aligned}$$

In particular, if  $r$  is such that  $a-n+r m > c$ , then

$$\Delta'(V^{-1}U^r) \supseteq [x^c, x^d] = \Delta'(V).$$

Since  $V \neq V^{-1}$ , condition (K) is not satisfied. All other possible

orderings of  $a, b, m$  and  $n$  produce the same conclusion and so  $\{U, V\}$  is not a set of free generators for  $\langle\{U, V\}\rangle$ .

In conclusion, we observe that if  $K = \{U, V\}$  where  $U = (\{x, x^2\}, x)$ ,  $V = (\{x^{-1}, x^{-2}\}, x^{-1})$  then  $\langle K \rangle$  contains three maximal idempotents, namely,  $UU^{-1} = (\{x, x^2\}, 1)$ ,  $U^{-1}U = V^{-1}V = (\{x, x^{-1}\}, 1)$  and  $VV^{-1} = (\{x^{-1}, x^{-2}\}, 1)$ . Thus not only are  $U$  and  $V$  not free generators for  $\langle K \rangle$  but  $\langle K \rangle$  is not a free inverse subsemigroup of  $F$ .

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