

1 **Resilient urbanization for water limited environments**

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13 Impact Statement

14 Dryland cities are home to more than two billion residents and are rapidly increasing throughout the
15 world. This paper provides an overview of key challenges, opportunities, and uncertainties that affect the
16 resilience of urban systems in drylands. New research directed to dryland cities is needed to help achieve
17 sustainable development goals and contribute to more comprehensive theories of social-environmental
18 systems spanning neighborhood to global scales.

19 Abstract

20 Dryland cities are important locations for human-environmental interactions and differ in many key
21 characteristics from cities in wetter environments. The resilience of dryland cities depends on interactions
22 across the entire urban continuum, from urban cores and suburban areas to teleconnected zones and
23 wildland-urban interfaces. Defined by chronic water deficit, these cities face challenges that include
24 securing essential resources, reducing vulnerability to hazards, and conserving threatened species.
25 Resilience solutions must enhance the well-being of residents and institutions while fostering adaptive
26 capacity throughout the urban continuum. Key axes of solutions include hydrologic integration, including
27 stormwater capture and reuse, nature-based solutions, including expanding urban tree cover for cooling
28 and health benefits, and landscape sustainability, including the incorporation of spatial heterogeneity into
29 planning and development. Addressing the large uncertainties in ensuring more resilient cities requires
30 convergence research, the integration of theoretically driven science that brings researchers and
31 stakeholders together to identify problems, solutions, and opportunities for action. While convergence
32 approaches look to address pressing scientific uncertainties they also are inherently place-based and
33 address compelling case-studies to understand system dynamics and improve decision-making and land
34 management. New research is needed to address the trade-offs resulting from decision-making and urban
35 management activities, to meet the needs of diverse stakeholders, and to ensure that policies do not
36 marginalize underserved communities. By leveraging innovative technologies, sustainable practices, and
37 community involvement, dryland cities can overcome the challenges posed by chronic water limitations
38 and thrive in their diverse environments.

39

40 Introduction

41 Cities are globally distributed key locations of human impacts to the biosphere and focal locations where
42 people experience the environment (Grimm et al. 2008; Seto et al. 2012a). As complex socio-
43 environmental systems, cities function through combinations of interactions that reflect self-organization,
44 decision making, and external constraints (Bettencourt et al. 2007; Batty 2008). Cities are also highly
45 heterogeneous landscapes with geographic variability spanning from the urban core featuring dense urban
46 development and populations to associated teleconnected zones that provide resources for the urban core
47 (Luck and Wu 2002; Zhou et al. 2017; Seto et al. 2012b). Given the increasing importance of urbanization
48 to society and biosphere, extensive ongoing basic research and increasingly use-inspired research
49 developed in collaboration with stakeholders are expanding to meet the theoretical and practical
50 uncertainties of urban trajectories (Bai et al. 2017). Drylands are especially important loci of urbanization
51 that differ in important characteristics from cities in wetter environments. With good reason, drylands
52 served as the cradle of urban development in Mesopotamia, Indus Valley, China, and Mesoamérica as
53 social organization was required for constructing elaborate irrigation systems (Wittfogel 1957;
54 Scarborough 2003). Currently, 2.1 billion people or 56% of all urban residents live in a city where

55 precipitation is substantially less than potential evaporation (Figure 1) and some of the most rapid rates of
56 urbanization are occurring in dryland environments of Africa, Latin America, and Asia (Seto et al. 2012a;
57 Liu et al. 2020). With global expansion of drylands and increasing frequency of dry conditions in
58 historically wet regions (Huang et al. 2016), dryland cities also serve as a useful model for urban
59 development and resilience globally. Exemplifying this challenge, by 2050 between 1.7-2.4 billion urban
60 residents are projected to experience water scarcity (He et al. 2021). To achieve sustainable development
61 goals and enhance opportunities for urban residents an expanded perspective of urbanized drylands is
62 needed (FAO 2022).

63 Achieving sustainable development goals in the context of urbanization includes the maintenance of
64 resources and environmental conditions for future generations while also enhancing an adaptive capacity
65 to allow cities to continue responding to changing conditions (Wu 2014). Essential to both is increasing
66 the resilience capacity of urbanization to ensure continued supply of resources, maintain natural
67 biodiversity, and ensure equitable societal improvements (Chelleri et al. 2015; Meerow et al. 2016).
68 Urban planning, from a resilience perspective, in part focuses on enhancing the capacity to recover and
69 reorganize after disturbances such as fires and flooding from a biophysical driver or economic shocks and
70 riots from societal drivers (Ahern 2011). The resilience perspective reflects both system- and landscape-
71 attributes central to urban dynamics (Wu 2014; Groffman et al. 2017). Increasingly researchers and
72 practitioners recognize that urban resilience capacity is dependent on interactions occurring throughout
73 the urban continuum from urban core and suburban to more zones distal teleconnected and wildland
74 urban interfaces (WUIs) (Seitzinger et al. 2012). Throughout these zones of urbanization innovation that
75 generates urban adaptive capacity can be promoted (Ernstson et al. 2010). Fostering resilience in dryland
76 cities is an essential goal for research, planning, and policy development to ensure progress in improving
77 in achieving sustainable development goals.

78 To date, the majority of research into dryland cities and pathways to enhance their resilience has been
79 primarily through case studies although some efforts have also been directed to cross-city comparisons.
80 Phoenix, AZ USA in particular has served as an extensive test-bed to understand urban dryland dynamics
81 and develop frameworks for enhancing their resilience. Beginning in 1998, the Central Arizona – Phoenix
82 Long Term Ecological Research project has catalyzed researchers and practitioners throughout the
83 metropolitan region. Key findings from this project have demonstrated the role of vegetation and
84 associated irrigation on reducing neighborhood heat risks and that the distribution of vegetation density
85 and cooler microclimates are associated with neighborhood wealth and racial makeup (Jenerette et al.
86 2008). This work has also pioneered research into urban resident desires leading to scenario framing as a
87 pathway towards convergence research (Larson et al. 2019; Iwanic et al. 2020; Brown et al. 2020). Other
88 case study cities have also provided valuable insights into dryland urbanization, including ongoing
89 research in Los Angeles, USA (McPherson et al. 2011; Kucera and Jenerette 2023), Barcelona, Spain
90 (Baró et al. 2016; Anguelovski et al. 2018), Mexico City, Mexico (Velasco et al. 2005; Bravo-Bello et al.
91 2020), and Melbourne, Australia (Ossola et al. 2015; Threlfall et al. 2022). In addition to detailed case-
92 studies, work has also been directed to cross site comparisons of dryland cities. Network approaches have
93 been used in the southwestern United States to document the importance of climate and irrigation
94 gradients on inter-urban variation in heat and vegetation (Jenerette et al. 2013; Shiflett et al. 2017; Ibsen
95 et al. 2023). Global syntheses have also been conducted for dryland cities that evaluate sources of
96 variation in urban heat risks (Wheeler et al. 2019; Dialesandro et al. 2019). Other comparative studies
97 have included dryland cities in a broader selection of cities that have shown important differences
98 between dryland cities compared to more mesic cities in context of vegetation, climate, and soils
99 (Jenerette et al. 2016; Herrmann et al. 2020; Ibsen et al. 2021). With this baseline of cross city
100 comparisons and on-going case studies there is a need for an overarching perspective of dryland

101 urbanization. In looking toward this need, the objective here is to develop a framework for characterizing
102 key sources of variability in dryland urbanization structure, function, and capacity for resilience. The
103 resulting framework will be used to better identify challenges, solutions, and research needs to improve a
104 theory of dryland cities and enhance the resilience of these systems.

105

106 **Defining dryland urbanization and sources of variability**

107 What is a water limited city or dryland urbanization? A defining feature of urbanization in drylands is a
108 chronic terrestrial deficit between precipitation the capacity for evaporation (defined as an aridity index of
109 $1 - \text{precipitation} / \text{potential evapotranspiration}$ less than 0.65), a commonly used definition of dryland (He
110 et al. 2021). In this sense, a dryland city is one where the land surface could have higher rates of
111 evaporation if additional water were available in an unmanaged condition. Characteristics reflecting an
112 increasing magnitude of water deficit include infrequent precipitation events, low atmospheric humidity,
113 high temperatures, dry soils, and reduced vegetation. In part because of these characteristics, dryland
114 cities are exposed to elevated risks from water insecurity, wildfires, heatwaves, and flooding during
115 episodic precipitation events (Lian et al. 2021; Yin et al. 2023). These features affect urban development
116 and shape opportunities to enhance urban resilience in drylands. Nevertheless, this definition does not
117 imply that dryland cities themselves lack water: in contrast many cities in dryland environments have
118 extensive water resources. Water for urban uses can be acquired from surface and groundwater resources
119 naturally located within or adjacent to the city (McDonald et al. 2014). Water is also captured from
120 conveyances that may store and transport water great distances. Examples of such conveyance systems
121 include the historic aqueducts of Rome that transported water more than 90 km and the modern Great
122 Man-Made River in Libya that transports water up to 1,600 km in supplying multiple dryland cities. Thus,
123 while the definition of terrestrial water limitation provides a key lens for considering dryland urban
124 dynamics, the amount of water available to a city may be extensive and support additional vegetation,
125 high rates of evaporation, and perennial downstream water flows.

126 In addition to the challenges of defining urban water limitation, identifying the scope of urbanization
127 itself is also contested. Urbanization has been challenging to define as it is a combination of a system of
128 interconnected social and environmental processes encompassing a large number of quasi-autonomous
129 agents participating in webs of organizations with key processes occurring throughout a landscape (Wu
130 2014; Groffman et al. 2017). People and their interactions are the hallmark of urbanization where
131 interactions span informal to formal as well as private to governmental institutions and interactions are
132 characterized by varying degrees of cooperation and conflict. In this sense, urbanization encompasses a
133 decentralized system of people and the environment. At the same time, urbanization is a geographic
134 concept associated with extensive landscape heterogeneity found at multiple scales (Luck and Wu 2001;
135 Seto et al. 2012b). Delineations of urban extent are connected to a variety of geographic indicators
136 associated with population and social concentration, land cover distributions, governmental regulations
137 (Raciti et al. 2012; Meerow et al. 2016). By using a simplified landscape model of urban development, a
138 city can be separated into distinct zones of urbanization from the urban core, suburban, exurban, to
139 teleconnected lands and wildland urban interfaces (Figure 1). Both among and within contrasting zones
140 extensive variability in both landscape structure and system functioning are found. Within urban core
141 areas, development is most intense with extensive distributions of impervious surfaces and limited
142 amounts of cultivated vegetation, although many urban core areas include parks and other greenspaces.
143 Within suburban areas, more open space is available, building materials diversify, and alternative
144 opportunities for development are found. In exurban areas, the densities of built structures are low and
145 extensive open space can be found. Finally, in teleconnected zones, the direct physical footprint of urban

146 development is lacking but the effects of urbanization in these areas can lead to highly modified
147 ecosystems for the production of goods, such as agriculture, or locations for waste (Seto et al. 2012b).
148 Interfaces between urban and natural processes, or wildland urban interfaces (WUIs), are prominent
149 interactions that further shape urban dynamics and can be found across all urbanization zones (Jenerette et
150 al. 2022; Schug et al. 2023). Concomitant with this spatial variability, the different zones are integrated
151 into the dynamics of the whole city. For example, while urban core and suburban zones frequently rely on
152 imports of water resources, WUI, exurban and teleconnected zones may be sources of water that are
153 brought to a city directly or transported virtually through other goods such as food (Jenerette and Larsen
154 2006; Paterson et al. 2015). Across all zones of urbanization both landscape and system characteristics
155 influence urban structure and functioning. Together, a general definition of dryland urbanization is
156 locations of urbanization occurring where the terrestrial landscape is water limited.

157 In addition to large heterogeneities within an urban system, cities differ dramatically throughout drylands.
158 While a water balance definition of drylands suggests a threshold between dryland and more mesic cities
159 it also reflects a continuum of cities from subhumid to hyper-arid conditions. These inter-urban
160 differences further reflect differences in physiography, societies, and legacies of historical conditions.
161 Differences in physiography can have a large effect on urban dynamics and sustainability challenges
162 especially related to availability of water, heat, and open spaces. Climate differences among cities,
163 including local water balance, timing of precipitation, or temperatures, have a large impact on current and
164 future vulnerabilities (Imhoff et al. 2010; Anguelovski et al. 2016). Topographic variation, including
165 presence of mountains or riverine systems can provide opportunities for enhanced water availability
166 (Padowski and Gorelick 2014). Coastal conditions, either adjacent to an ocean or lake system, can also
167 influence climatic conditions among cities and introduce large climate gradients within a single urban
168 system (Tayyebi and Jenerette 2016). In contrast to physical drivers of inter-urban variation, social drivers
169 also lead to large differences in urban structure and functioning, but the effects of these differences are not
170 well understood. Cultural differences among dryland urban residents globally lead to contrasting urban
171 design aesthetics and approaches for addressing sustainability challenges (Kihato et al. 2010). Social
172 resources for enacting changes also vary among cities with wealthy cities having much greater capacity to
173 affect change throughout contrasting urban zones and respond to extreme events as well as worsening
174 chronic conditions (Zenghelis 2017). Finally, historical legacies differently influence dryland cities both
175 in terms of structure and function (Schell et al. 2020; Roman et al. 2018). These sources of differences
176 among cities individually and interactively can affect how different cities achieve more sustainable
177 conditions that limit vulnerabilities of urban residents and institutions.

178

179 **Challenges for Advancing Dryland Urban Resilience**

180 Increasing opportunities to maintain and improve resident well-being and urban functioning is a
181 crosscutting theme for achieving multiple sustainable development goals. While all urban systems face a
182 wide range of sustainability challenges, cities in water limited environments have constraints that differ
183 from more mesic environments and are exacerbated by increasing magnitudes of water deficit. These
184 challenges include ensuring access to needed resources, reducing vulnerabilities to hazards, and ensuring
185 conservation of threatened and endangered species. All of these challenges are influenced by multiple
186 effects of urbanization on soils, vegetation, climate, and hydrology (Maldonado et al. 2023). Central to
187 achieving sustainable urban futures is enhancing the resilience capacity of urban systems to cope with
188 continually changing social and environmental conditions (Ernstson et al. 2010; Ahern 2011). Equitable
189 distributions of urban conditions and the processes generating these conditions is a key challenge for all
190 cities and reflects current decisions and legacies of systemic racism (Pulido 2000; Schell et al. 2020).

191 Elevated equity challenges in dryland cities may reflect the heightened dependence on key resources for
192 well-being and the intensity of potential hazards (Jenerette et al. 2011). The process of crafting urban
193 policy and management actions needs to leverage the diversity of conditions throughout a city and the
194 corresponding sensitivities to environmental conditions.

195 Ensuring water security is a major constraint for dryland cities and is a focus of extensive urban planning
196 (Hoekstra et al. 2018; McDonald et al. 2014; He et al. 2021). Water security encompasses multiple
197 dimensions spanning uses and sources that vary spatially and temporally. Indoor water use is needed for
198 residential, commercial, and industrial uses. Water is also used outdoors to support vegetation in both
199 private and public green spaces as well as extensive water features such as artificial lakes or other
200 recreational locations (Steele et al. 2014). In addition to water quantity, ensuring water quality criteria are
201 satisfied is essential for ensuring its safe use. However, urbanization itself is a cause of degraded water
202 quality associated in part with increasing contamination by novel synthetic compounds (McGrane 2016).
203 To address water quality concerns, urban water undergoes a range of treatments before use, which varies
204 with potable water requiring much higher standards than outdoor uses. Expanding equity in access to
205 water in both contexts of quantity and quality is essential (Hoekstra et al. 2018; He et al. 2021). A
206 widespread component of water inequity is associated with outdoor water uses for growing vegetation
207 (Jenerette et al. 2011; Fang et al. 2023). In visioning for dryland urban resilience, the needs and strategies
208 for ensuring adequate and equitable water resources varies within and throughout the different zones of
209 urbanization. Throughout these zones the relative proportion of indoor to outdoor water will vary, for
210 example urban core areas needing more water for residences and industry while in suburban areas the
211 proportion of outdoor water may be larger. Similarly exurban, teleconnected, and WUI components may
212 be sources of water resources and more suburban and core components much more consumers of water.

213 Sustainable access to additional resources beyond water, including energy and food as well as recreational
214 opportunities and aesthetic benefits, are also needed for dryland cities. Frequently, these resources in
215 dryland environments have substantial embodied or virtual water requirements (Garcia et al. 2020).
216 Energy availability is a key resource with multiple ties to water availability: hydropower from both WUIs
217 and teleconnected regions is a critical energy source while at the same time energy use for delivering
218 water can be extensive either for water can require extensive energy for pumping and conveyance of
219 freshwater or desalination of high saline water (Gober 2010; Wakeel et al. 2016). Potentially offsetting the
220 energy demands of dryland cities, the generally clear sky conditions associated with drylands create
221 favorable conditions for expanding solar energy production. Water in dryland environments is also used
222 for food production, which occurs throughout urban zones from the urban core via urban gardening to
223 teleconnected agricultural centers. Along with these “first order” resource needs urban residents also have
224 needs for recreational and well-being activities that include access to greenspaces. These natural resources
225 generally also require water resources. Ensuring adequate resources for urban systems especially in water
226 limited environments where additional resources are also strongly connected to water availability is a key
227 challenge in achieving resilience goals.

228 In addition to ensuring adequate resources, urban sustainability is also challenged by risks from hazards
229 that are exacerbated by water limited conditions. For many dryland cities, fire in the WUI is an
230 overarching concern that causes extensive damage directly through burning (Radeloff et al. 2018; Jones et
231 al. 2022). Fires also contribute to degraded air quality, which can affect entire metropolitan regions. In
232 addition to fires, high heat, especially occurring during heat waves are a major hazard to human health
233 that is increasing in frequency, duration, and intensity and may be exacerbated by conditions associated
234 with water limitation and urban development (Kalnay and Cai 2003; Patz et al. 2005; Maldonado et al.
235 2023). High heat is already the greatest cause of weather-related mortality and causes substantially more

236 heat related illnesses (Vaidyanathan et al. 2020). High heat also compounds the effects of low air quality,
237 both by increasing pollutants, for example with ozone formation and susceptibility (Sha et al. 2021; Areal
238 et al. 2022). Flooding risks can also be high in water limited systems where soils may have limited water
239 holding capacity and episodic nature of precipitation can cause flash flooding events (Kundzewicz et al.
240 2014; Yin et al. 2023). While not all hazards are exacerbated by increasing water limitation, many hazards
241 are, and these pose critical challenges to the resilience of dryland cities.

242 Another challenge for many dryland cities is ensuring the sustainability of threatened and endangered
243 species as well as rare habitats (Ren et al. 2022). Many dryland cities throughout the world are situated in
244 areas with high conservation concern. Terrestrial species are directly affected by the loss and alteration of
245 habitats associated with urban expansion with extensive risks occurring in WUIs as well as in exurban
246 and teleconnected urban zones (McDonald et al. 2020). Aquatic species can be affected by development
247 patterns but are also affected by hydrological alterations to water flow regimes and water quality
248 (Cassady et al. 2023). Both terrestrial and aquatic species can be affected by releases of invasive species
249 that often have origins of invasion within urban settings. Ensuring the persistence of natural biodiversity
250 in the face of urban development is an ongoing sustainability challenge.

251

252 **Solution axes for sustainable dryland urbanization**

253 In addressing the sustainability challenges of cities in drylands, solutions are needed that enhance the
254 safety and well-being of urban residents and institutions while fostering systems with robust adaptive
255 capacity throughout the urban continuum. While individual challenges pose unique sources of uncertainty
256 and require tailored planning to achieve specific goals, axes of solutions provide a more general approach
257 for envisioning future dryland urban sustainability. The solution axes perspective highlights the
258 importance of interactions among challenges and the reality that many challenges reflect a nexus of
259 interconnected components (Liu et al. 2018). Across all solutions the combination of systems and
260 landscape thinking is needed to evaluate the feedback between social and environmental dynamics that
261 define urbanization.

262 One overarching solution axis is directed to ensuring hydrologic integration (Hoekstra et al. 2018). This
263 has direct implications for ensuring water security, as well as the close linkages between water and
264 energy, food production, and hazards including flooding, wildfire, and high heat (Wakeel et al. 2016).
265 Water security is affected by both availability and usage; increasing water security can be achieved by
266 appropriately modifying both. Diversifying sources of water, augmenting local water resources, and
267 recycling water is a key direction for enhancing water security in dryland cities. Ensuring opportunities to
268 capture and store local water can serve to both increase water resources while also reducing flood risks
269 (Porse et al. 2018). Increasingly, especially for coastal cities but also inland cities with saline aquifers
270 desalinization technologies are new sources of water, although this has large energy costs and potential
271 environmental degradation to marine habitats through brine releases (Jones et al. 2019). Across the urban
272 continuum there are many conflicts in water distributions especially between the urban core and suburban
273 zones that use water for residential and commercial uses with those in exurban and teleconnected zones –
274 primarily associated with agricultural water uses (Flörke et al. 2018). Improved hydrologic frameworks
275 can help avoid these conflicts. One useful direction includes accounting for the water use efficiency of
276 specific uses for comparison and including the context of embodied water (Shashua-Bar et al. 2009;
277 Flörke et al. 2018). Addressing hydrologic issues as an integrated axis of dryland urbanization provides a
278 comprehensive approach for addressing direct impacts, through water security and flooding control, while
279 also indirectly affecting other resources, hazards, and well-being.

280 A second solution axis is the expansion of nature-based opportunities, also known as green infrastructure,
281 or ecosystem services (Hobbie and Grimm 2020; FAO 2022; Fang et al. 2023). Nature-based solutions,
282 such as enhancing vegetation, provide bundles of simultaneous services through suites of co-benefits
283 (Raudsepp-Hearne et al. 2010; Lamy et al 2016). For example, nature-based solutions are frequently
284 targeted to reduce risks such as heat, where vegetation cools surfaces through shading as well as cooling
285 the larger areas through increased rates of evaporation (Gober et al. 2010; Kabisch et al. 2016; Jungman et
286 al. 2023). A unique benefit of using vegetation for urban cooling is that this approach can function as a
287 negative climate feedback, providing more cooling in hotter and drier conditions (Jenerette et al. 2011;
288 Ibsen et al. 2021). For these reasons, in some dryland areas cities with irrigation supported vegetation can
289 be cooler than outlying natural landscapes (Campos et al. 2022). At the same time, vegetation provides
290 co-benefits of enhanced water infiltration and is often a pathway for reducing flooding risks and
291 enhancing water quality (Berland et al. 2017). Finally, expanding vegetation in greenspaces also leads to
292 many benefits for well-being associated with both mental and physical health (Rojas-Rueda et al. 2019).
293 Highlighting these bundles of services, urban agriculture within the urban core or suburban zones is
294 increasingly viewed as nature-based approach to enhance food security in cities while also providing
295 cooling and health benefits (Brown and Jameton 2000). However, nature-based solutions approaches may
296 not achieve all desired outcomes and may exacerbate other challenges. For example, while vegetation is a
297 desired tool for reducing exposure to atmospheric pollutants extensive data suggests that the effects are
298 minimal at best and can potentially enhance exposure to pollutants (Kumar et al. 2019). In another
299 example, while increasing trees to shade buildings is desired for reducing high heat impacts, this approach
300 can also elevate fire risks (Syphard et al. 2014). In the context of water use, a nature-based solutions axis
301 for dryland cities will require increasing urban vegetation and outdoor water uses, which creates
302 challenges for reducing water uses to enhance water security. An integrative and cross axis solution
303 directed toward maximizing the water use efficiency of nature-based solutions is a needed approach for
304 ensuring resilience of dryland cities.

305 Such an integrative approach is the hallmark of a third solution axis, a landscape sustainability approach,
306 which can further incorporate hydrologic and nature-based solution axes to enhancing urban system
307 resilience in drylands (Ahern 2012; Wu 2014; Wu 2021). Landscape sustainability science has been
308 defined as a focus “on understanding how ecological consequences of spatial heterogeneity (e.g., species,
309 community, ecosystem functions) cascade to affect human well-being (e.g., basic material, freedom of
310 choice, health, social relations, security, inequality) as well as impacts of social changes to natural
311 systems” (Liao et al. 2020). This approach explicitly features a design component and strong connections
312 between managers, stakeholders, and researchers. Essential to a landscape sustainability approach is the
313 characterization of location specific constraints and drivers of urban resilience. Importantly, a recognition
314 that the constraints and drivers will vary across scales from individual parcels to entire metropolitan
315 regions. Urban morphology and physiognomy can in many cases be strongly linked to sustainability
316 indicators (Lamy et al. 2016; Zhang et al. 2023) because in part the location of landscape elements
317 throughout a city can affect their functioning (Alberti 2005). Solutions that address and leverage spatial
318 heterogeneity throughout cities provides a comprehensive axis for landscape and urban system
319 management. Exemplifying the effects of landscape interactions, individual tree species can vary in their
320 functional responses to the environment depending on where they are located (Ibsen et al. 2023), which is
321 consistent with increases in the effectiveness of vegetation cooling increases in more arid locations
322 (Tayyebi and Jenerette 2016). Harnessing cross-scale interactions provides additional mechanisms for
323 balancing trade-offs. In particular, the inclusion of teleconnected zones as part of urbanization recognizes
324 the inherent connectivity of urban and hinterland systems. Similarly, the inclusion of WUIs as part of the
325 urban system opens opportunities to address key hazards, ecosystem services, and species conservation

326 that simultaneously affect urban sustainability (Jenerette et al. 2022). A landscape sustainability
327 framework provides a geographical and system dynamic framework that can further be combined with
328 other axes. For example, landscape assessments that evaluate urban greening contributions to heat
329 reductions and water uses (Zhang et al. 2017; Liang et al. 2017). Beyond science, a landscape
330 sustainability approach requires engagement with urban designers, architects, and planners who envision
331 future urban configurations as well as decision makers who implement policies (Nassauer 2012; Wu
332 2014). An example of this approach is the creation of superblocks in Barcelona, Spain – where a set of
333 three by three street blocks of dense urban core area is transformed through creation of pedestrian and
334 biking walkways, increasing vegetation and reducing car traffic (Mueller et al. 2020). While integrative in
335 principle, implementation of a landscape sustainability approach is challenging due to the diversity of
336 agents and environmental conditions found throughout cities, nonetheless such a framework is useful
337 direction for enhancing the sustainability of dryland urbanization.

338

339 **Research Needs**

340 Many theoretical and place-based uncertainties limit the capacity to enhance resilience of dryland cities.
341 While many science needs are general to all cities regardless of local climate conditions, dryland cities
342 have needs specifically related to water security and addressing the many challenges that increase with
343 aridity (Table 1). Convergence research, which brings together interdisciplinary scientists and knowledge
344 users (National Research Council 2014), provides a framework to both address fundamental uncertainties
345 in dryland urban system dynamics and contribute to decision making and land management. Such use
346 inspired research into how solutions to dryland urban challenges can be most effectively implemented
347 needs both systems and landscape perspectives to realize their benefits and minimize negative trade-offs.
348 In part this challenge reflects uncertainties in how decision making across the large number of
349 stakeholders with contrasting values can benefit everyone (Iwaniec et al. 2020; Mansur et al. 2022). An
350 overarching concern is: How to best manage needs and consequences simultaneously for services,
351 hazards, and conservation throughout the urban continuum and reconcile the trade-offs across these
352 dimensions (Jenerette et al. 2022)? Concomitantly, how can practices be enacted that enhance equity in
353 both environmental conditions and processes for enacting policies that do not further marginalize
354 underserved communities (Anguelovski et al. 2022)? Addressing these complementary uncertainties in
355 dryland cities should simultaneously enhance the well-being of urban residents, ensure the viability of
356 threatened and endangered species, while encouraging resilience throughout the urban continuum.

357 Within these overarching concerns, one key research need is to better characterize the societal and
358 cultural causes of variability in urban landscape structures and system dynamics that relate to resilience of
359 these systems at global scales. Such aspects include differences in landscape design, demographic
360 segregation, environmental feedback, The vast majority of urban research has been conducted in the
361 United States, European Union, and Australia with expanding science contributions also originating from
362 China. Latin America, Africa, the Middle East region, and much of Asia are not well represented in
363 current data or models (Ziter 2016; Nagendra et al. 2018). These areas are some of the most rapidly
364 expanding urban regions in the world and face increasing aridity. Cultural, socioeconomic, environmental,
365 and historical characteristics in cities from these regions certainly differ from conditions where most
366 research has been conducted. Characterizing the differences and causes among cities will lead to a more
367 comprehensive consideration of urbanization and its interactions with local, adjacent, and teleconnected
368 environments for places undergoing the most rapid changes.

369 In another research priority, while much research has been directed to urban sustainability within the
370 urban core or suburban zones, more research directed to increased integration of urban sustainability with
371 adjacent, i.e. WUIs, and teleconnected zones is needed (Seto et al. 2012; Jenerette et al. 2022). These
372 more distal components of the urban system have strong impacts to urban dynamics associated with
373 hazards, provisioning of key ecosystem services, and conservation of threatened and endangered species.
374 Challenges with incorporating these distal urban components into frameworks of sustainable planning
375 arise from the contrasting management jurisdictions, environments, and social systems between more
376 central and distal urban zones. For example, WUIs are made of a patchwork of regulation and decision
377 making that is frequently disconnected from the purview of urban core and suburban regions (Jenerette et
378 al. 2022). Teleconnected zones can be distributed globally, limiting opportunities for direct management
379 especially through urban policy making. While core-distal issues affect urbanization in all climatological
380 conditions, in drylands key issues come to the fore, especially in response to fire and water security. For
381 example, uncertainties in dryland WUI fire dynamics include both biophysical drivers of fire dynamics in
382 response to both changing climate and land use patterns as well as public health issues related to effects of
383 smoke exposure (D'Evelyn et al. 2022). Similarly, research is needed into water conveyance systems
384 from WUI and teleconnected zones to suburban and urban core zones. Ensuring sustained water
385 availability has both hydrological components into terrestrial water balances but also decision making
386 across potentially competing uses (Jenerette and Larsen 2006; Flörke et al. 2018). These examples of fire
387 and water security highlight the uncertainties in linking urban core with more distal zones showcase the
388 need for geographically integrated frameworks for enhancing the resilience of dryland urbanization.

389 A third research need is reducing uncertainties in designing more effective urban structure to reduce
390 climate vulnerabilities. Cities are warming much faster than natural ecosystems and are also exposed to
391 greater risks from climate change induced disturbances including heatwaves, flooding, and fires. Novel
392 interventions to reduce vulnerability to climate extremes and research that provides guidance on their
393 implementation are needed (Wu 2014). The efficacy and potential trade-offs of adaptation strategies are in
394 many cases uncertain and are related to the role of biological and landscape variation on functioning of
395 individual interventions (Georgescu et al. 2014). For example, plant trait variation across and within
396 species as well as local environmental context may affect the cooling capacity and water use efficiency of
397 urban trees (Rahman et al. 2020; Yu et al. 2020). Unanswered questions include: what species are most
398 effective and is biodiversity important now and in the future? Where and in what arrangement should
399 trees be planted to enhance effectiveness? How can expanding tree cooling capacity in vulnerable
400 neighborhoods contribute to gentrification? More broadly, research that evaluates alternative strategies
401 not only for effects on risks but continues to assess actual outcomes, such as human health and energy
402 demands. Addressing climate vulnerability research needs in dryland cities is especially needed as risks
403 associated with hotter and drier conditions are increasingly having debilitating consequences to urban
404 residents and systems.

405

406 **Conclusions**

407 Drylands are the cradle of urbanization and a crucible for developing sustainable pathways for a rapidly
408 growing and urbanizing planet. Characterizing the variability of dryland urbanization, recognizing key
409 challenges, applying axes of solutions and developing science that meaningfully improves urban
410 resilience while contributing to a more comprehensive theory of human environmental interactions is a
411 pressing scientific challenge (Figure 3). Chronic water limitation both poses multiple sustainability
412 challenges to cities and yet has also been a source of innovation and organization both historically and
413 today. These differences reflect environmental and social determinants as well as reflecting unique

414 characteristics derived from the self-organization of individual cities. Enhancing the resilience of dryland
415 cities is an important goal that will require interdisciplinary scientists, designers and planners, as well as
416 decision makers and managers coming together. Framing urbanization as a multiple-scale phenomenon
417 spanning a continuum from urban core to teleconnected zones with extensive WUIs throughout these
418 zones provides a comprehensive perspective for understanding urban development and functioning.
419 Envisioning solutions as axes or a nexus of interlinked approaches is a fruitful strategy to accomplish
420 specific goals while also enhancing the capacity of urban systems. Dryland urbanization requires a
421 holistic and adaptive approach that balances human needs with environmental sustainability. By
422 leveraging innovative technologies, sustainable practices, and community involvement, cities in water
423 limited regions can thrive despite the climate challenges. With a history extending to the first cities and a
424 current unprecedented increase of urban development, improved knowledge of dryland urbanization will
425 contribute both to advancing theories of human-environmental interactions and improving the lives of
426 billions of people.

427

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432

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435

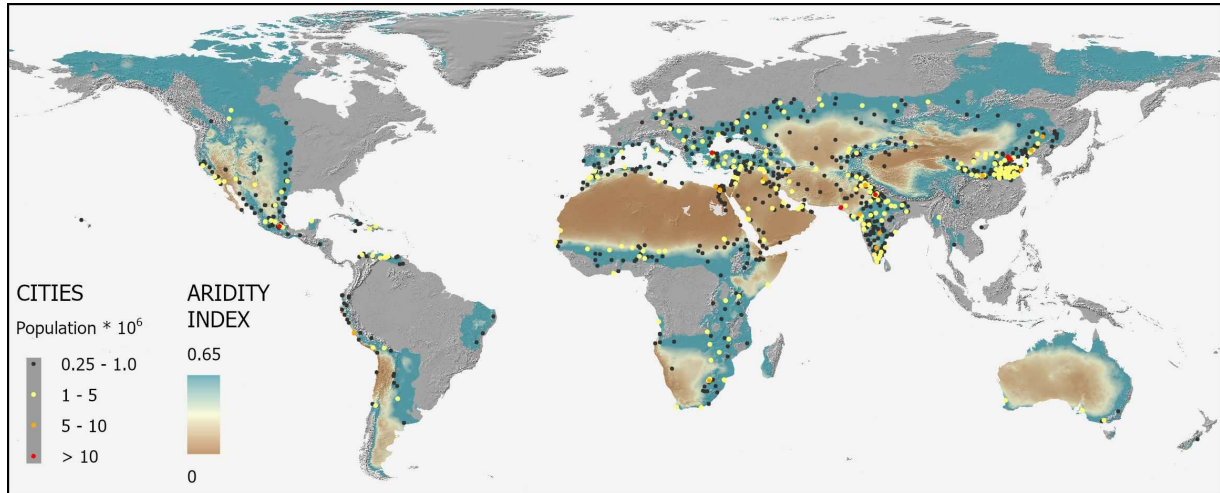
436 **Conflict of Interest Statement**

437 Conflicts of Interest: None

438

439 **Figure Captions**

440 Figure 1 – Global distribution of cities of more than 250,000 residents in drylands (aridity index <0.65).
441 Aridity layer was obtained from Trabucco and Zomer 2009. City data were obtained from geonames by
442 opendatasoft.



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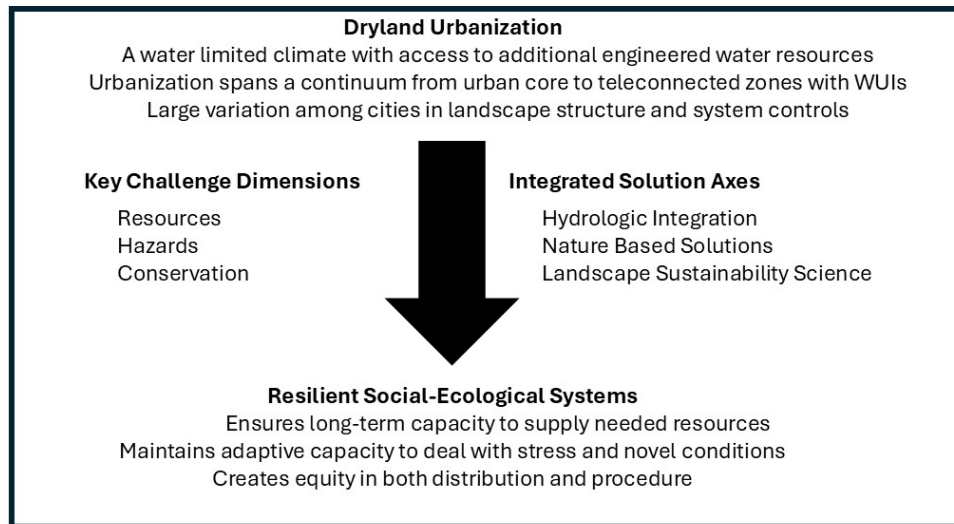
445 Figure 2 – Examples of contrasting zones of urbanization from urban core, suburban, exurban, and
446 teleconnected lands (top to bottom) showing both urban and wildland urban interface (WUI) components
447 (left and right). These examples are from the Los Angeles, CA USA metropolis. Imagery from Google
448 Earth.



449

450

451 Figure 3 – Framework for organizing definitions, challenges, and solutions of dryland urbanization for
452 achieving resilient social ecological systems. Dryland cities have multiple challenges that can reduce their
453 capacity for resilience. Nevertheless, integrated solution axes instead of individual “fixes” provide
454 pathways to address bundles of connected issues and address resulting tradeoffs.



455

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459

460 **Tables**

461 Table 1 – Key research needs for enhancing the resilience of urbanized drylands.

Overarching needs: How to best manage needs and consequences simultaneously for services, hazards, and conservation throughout the urban continuum and reconcile the trade-offs across these dimensions? Concomitantly, how can practices be enacted that enhance equity in both environmental conditions and processes for enacting policies that do not further marginalize underserved communities?

| Major Research Challenge | Examples of Key Needs |
|---|--|
| 1. Better characterize the causes of variability in dryland urbanization resilience | Expanding the geographic and cultural diversity of current research |
| | Identifying roles of cultural, socioeconomic, environmental, and historical characteristics |
| 2. Increase integration of urbanization with adjacent wildland urban interfaces and teleconnected zones | Incorporating distal urban components into sustainable planning frameworks |
| | Characterizing WUI fire dynamics in response to changing climate and land use patterns and their public health consequences related to effects of smoke exposure |
| | Forecasting and improving water conveyance systems from distal components to suburban and urban core zones |
| 3. Design more effective urban structure to reduce climate vulnerabilities | Identifying novel interventions to reduce vulnerability to climate extremes |
| | Evaluating how biological and landscape variation influences the efficacy and potential trade-offs of adaptation strategies |
| | Improving well-being without enhancing inequities or causing population displacement |
| | Evaluating alternative strategies for reducing vulnerabilities that directly assess desired actual outcomes |

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